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## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	<b>DOWNREGULATION OF CELL SURFACE GLYCOPROTEINS BY A FAMILY OF HUMAN UBIQUITIN LIGASES</b>
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As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or  
☒ Application No. 10/624,727, filed on July 21, 2003,  
☐ as amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

### FULL NAME OF INVENTOR(S)

Inventor one: Klaus Früh Citizen of: DE

Signature: *Klaus Früh* Date: 3-31-04

Inventor two: Bianca Nerenberg Citizen of: US

Signature: *Bianca Nerenberg* Date: 3/31/04

Inventor three: Eric Bartee Citizen of: US

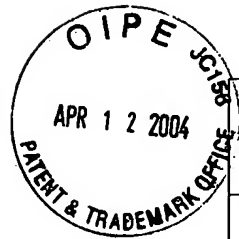
Signature: *Eric Bartee* Date: 3/31/04

Inventor four: Mandana Mansouri Citizen of: AU

Signature: *Mandana Mansouri* Date: 3-31-04

☒ Additional inventors are being named on 1 additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

**ADDITIONAL INVENTOR(S)  
Supplemental Sheet**

**Page 2 of 2**

**FULL NAME OF INVENTOR(S)**

Inventor five: Kristine Gouveia Citizen of: US

Signature: *Kristine Gouveia* Date: 3-31-04

Inventor six: \_\_\_\_\_ Citizen of: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Inventor seven: \_\_\_\_\_ Citizen of: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Inventor eight: \_\_\_\_\_ Citizen of: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

☐ Additional inventors are being named on \_\_\_\_\_ additional form(s) attached hereto.



Express Mail No. \_\_\_\_\_

PTO/SB/81 (06-03)

Approved for use through 11/30/2005 OMB 0651-0035  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM</b>	Application Number	10/624,727
	Filing Date	July 21, 2003
	First Named Inventor	Früh
	Title	DOWNREGULATION OF CELL SURFACE GLYCOPROTEINS BY A FAMILY OF HUMAN UBIQUITIN LIGASES
	Group Art Unit	1632
	Examiner Name	
	Attorney Docket Number	49321-89

I hereby appoint:

☒ Practitioners at Customer Number:

22504

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ The address associated with Customer Number:

OR

<input type="checkbox"/> Firm or Individual Name				
Address				
Address				
City		State		ZIP
Country				
Telephone	206-628-7621	Fax	206-628-7699	

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name	Larry Simonmeier		
Signature	[Signature]		
Date	March 9, 2004	Telephone	503 494-8200

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.131 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Oregon Health & Science UniversityApplication No./Patent No.: 10/624,727 Filed/Issue Date: July 21, 2003Entitled: DOWNREGULATION OF CELL SURFACE GLYCOPROTEINS BY A FAMILY OF HUMAN UBIQUITIN LIGASESOregon Health & Science University

(Name of Assignee)

a

University

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ the assignee of an undivided part interest

In the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel [\_\_\_\_], Frame [\_\_\_\_], or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee as shown below:

1. From: [\_\_\_\_] To: [\_\_\_\_]

The document was recorded in the United States Patent and Trademark Office at Reel [\_\_\_\_], Frame [\_\_\_\_], or for which a copy thereof is attached.

2. From: [\_\_\_\_] To: [\_\_\_\_]

The document was recorded in the United States Patent and Trademark Office at Reel [\_\_\_\_], Frame [\_\_\_\_], or for which a copy thereof is attached.

3. From: [\_\_\_\_] To: [\_\_\_\_]

The document was recorded in the United States Patent and Trademark Office at Reel [\_\_\_\_], Frame [\_\_\_\_], or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☒ Copies of assignments or other documents in the chain of title are attached.

**[NOTE:]** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

March 9, 2004

Date

Larry Simonsmeier

Typed or printed name

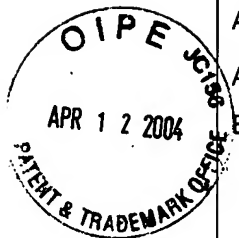
Larry Simonsmeier

Signature

Interim Director

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



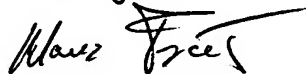
ASSIGNMENT

WHEREAS, we, Klaus Früh, residing at 1980 NW 113<sup>th</sup> Avenue, Portland, Oregon 97229, Bianca Nerenberg, residing at 9035 SW Quint Court, Beaverton, Oregon 97008, Eric Bartee, residing at 1100 SW Curry Street, Apt. E, Portland, Oregon 97239, Mandana Mansouri, residing at 16093 NW Graf Street, Portland, Oregon 97239 and Kristine Gouveia, residing at 13275 SW Oakwood Street, Beaverton, Oregon 97005, (ASSIGNORS) are the inventors named in an application for a Letters Patent of the United States entitled DOWNREGULATION OF CELL SURFACE GLYCOPROTEINS BY A FAMILY OF HUMAN UBIQUITIN LIGASES, said application filed as U.S. Provisional Patent Application 60/397,136 on July 19, 2002 and as U.S. Patent Application 10/624,727 on July 21, 2003;

AND, WHEREAS, Oregon Health & Science University, a public corporation and political subdivision of the state of Oregon, having administrative offices at 2525 SW First Avenue, Suite 120, Portland, OR 97201-4753 (hereinafter referred to as ASSIGNEE) is desirous of acquiring the entire right, title and interest in the invention described in the said application;

NOW, THEREFORE, for sufficient, good and valuable consideration, the receipt of which is hereby acknowledged, we do hereby sell, assign, and transfer unto said ASSIGNEE, our entire right, title to and interest in said application and said inventions; any patent or reissues of any patent that may be granted thereon; and any applications which are improvements thereon, continuations, continuations-in-part, substitutes, or divisions of said applications, and all foreign applications and patents on said invention(s) to be held and enjoyed by ASSIGNEE as entirely as the same would have been held and enjoyed by us had this sale, assignment, and transfer not been made, and we do hereby further agree and promise to execute all instruments and render all such assistance as ASSIGNEE may request in order to make and prosecute any and all applications on said invention(s), to enforce any and all patents on said invention(s), and to confirm in ASSIGNEE legal title to said invention(s) and all applications and patents on said invention(s), all without charge to ASSIGNEE but at no expense to us.

Executed in Beaverton, Oregon, this 31<sup>st</sup> day of March, 2004.

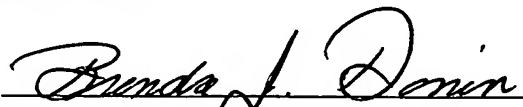


Klaus Früh

STATE OF OREGON                     )  
  : ss.  
COUNTY OF Washington             )

On this 31<sup>st</sup> day of March, 2004, before me, a Notary Public in and for the State and County aforesaid, personally appeared Klaus Früh, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.



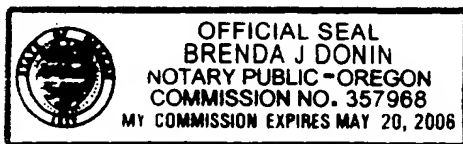
  
NOTARY PUBLIC in and for the State of Oregon, residing  
at Beaverton, Oregon  
My commission expires May 20, 2006

Executed in Beaverton, Oregon, this 31<sup>st</sup> day of March, 2004.

Bianca Nerenberg  
Bianca Nerenberg

STATE OF OREGON                     )  
  : ss  
COUNTY OF Washington             )

On this 31<sup>st</sup> day of March, 2004, before me, a Notary Public in and for the State and County aforesaid, personally appeared Bianca Nerenberg, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and she acknowledged the same to be her free act and deed.



Brenda J. Donin  
NOTARY PUBLIC in and for the State of Oregon, residing  
at Beaverton, Oregon  
My commission expires May 20, 2006

Executed in Beaverton, Oregon, this 31<sup>st</sup> day of March, 2004.

Eric Bartee  
Eric Bartee

STATE OF OREGON                     )  
  : ss  
COUNTY OF Washington             )

On this 31<sup>st</sup> day of March, 2004, before me, a Notary Public in and for the State and County aforesaid, personally appeared Eric Bartee, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.



Brenda J. Donin  
NOTARY PUBLIC in and for the State of Oregon, residing  
at Beaverton, Oregon  
My commission expires May 20, 2006

Executed in Beaverton, Oregon, this 31<sup>st</sup> day of March, 2004.

Mandana Mansouri

STATE OF OREGON )  
COUNTY OF Washington : ss )

On this 31<sup>st</sup> day of March, 2004, before me, a Notary Public in and for the State and County aforesaid, personally appeared Mandana Mansouri, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and she acknowledged the same to be her free act and deed.



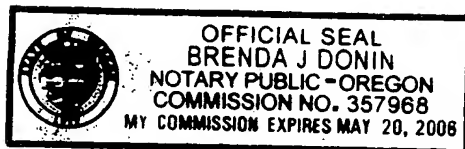
Brenda J. Donin  
NOTARY PUBLIC in and for the State of Oregon, residing  
at Beaverton, Oregon  
My commission expires May 20, 2006

Executed in Beaverton, Oregon, this 31<sup>st</sup> day of March, 2004.

Kristine Gouveia

STATE OF OREGON )  
COUNTY OF Washington : ss )

On this 31<sup>st</sup> day of March, 2004, before me, a Notary Public in and for the State and County aforesaid, personally appeared Kristine Gouveia, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and she acknowledged the same to be her free act and deed.



Brenda J. Donin  
NOTARY PUBLIC in and for the State of Oregon, residing  
at Beaverton, Oregon  
My commission expires May 20, 2006